PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**TRANSMITTAL FORM** 

10/602,123 Application Number June 23, 2003 Filing Date Jim Smith Hogan First Named Inventor 1764 Art Unit **Examiner Name** 

(to be used for all correspondence after initial filing) 1949-00701 Attorney Docket Number Total Number of Pages in This Submission

ENCLOSURES (check all that apply)		
☐ Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group
Fee Attached	☐ Licensing-related Papers	☐ Appeal Communication to Board
Amendment/Reply	☐ Petition	of Appeals and Interferences
☐ After Final	Petition to Convert to a Provisional Application	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Affidavits/declaration(s)	Power of Attorney, Revocation	Proprietary Information
☐ Extension of Time Request	Change of Correspondence Address	Status Letter
Express Abandonment Request	☐ Terminal Disclaimer	Other Enclosure(s) (please
	☐ Request for Refund	identify below): Form PTO-1449 (1 p.); and
☐ Certified Copy of Priority Document(s	CD, Number of CD(s)	acknowledgment postcard
Response to Missing Parts/ Incomplete Application		
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Or Individual Name  Signature  Date  October 30, 2003		
CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
		ate October 30, 2003
Signature		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Jim Smith Hogan

**GROUP ART UNIT: 1764** 

**SERIAL NO.:** 

10/602,123

June 23, 2003

9999999

FILED: FOR:

Method and Apparatus for

Processing Waste Product

EXAMINER:

## INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1949-00701

Date: October 30, 2003

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware.

Consideration of art cited in following related applications and additional information is requested:

U.S. Patent Serial No. 10/287,387, filed November 4, 2002, entitled Method and Apparatus for Processing Waste Product.

A copy of each publication listed on Form PTO 1449 is enclosed herewith except that pursuant to 37 C.F.R. § 1.98 (d), copies of certain patents, publications, pending U.S. application(s) or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by, the Office in the above-mentioned earlier U.S. Patent Application(s). The Information Disclosure Statement filed in the earlier application(s) complies with 37 C.F.R. § 1.98 (a)-(c).

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the

situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C.  $\S~102$  or  $\S~103$  .

Respectfully submitted,

Jeffrey L. Johnson Reg. No. 53,078

CONLEY, ROSE, P.C.

P. O. Box 3267

Houston, Texas 77253-3267

(713) 238-8000

ATTORNEY/AGENT FOR APPLICANT

Serial No. Atty. Docket No. Form PTO-1449 (Modified) 10/602,123 1949-00701 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant Jim Smith Hogan (Use several sheets if necessary) Group Filing Date June 23, 2003 1764 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS FILING DATE IF **CLASS** SUB-NAME DATE **DOCUMENT EXAMINER** CLASS APPROPRIATE NUMBER INITIAL 202 105 AA\* 10/10/1989 Hogan 4872954 208 13 Heuer et al. 4990237 02/05/1991 AB\* 7 201 01/07/1992 Hogan AC\* 5078836 117 202 07/13/1993 Hogan AD\* 5227026 208 13 Reynolds et al. 12/14/1993 AE\* 5269906 184.1 03/21/1995 06/04/1996 422 Hogan AF\* 5523060 10/05/1995 175 66 AG\* 11/05/1996 Reed 5570749 340 09/26/1996 208 5736031 04/07/1998 Nye et al. AH\* 11/25/1996 202 136 12/22/1998 Hogan 5851361 AI\* 02/05/1997 432 115 07/27/1999 Pate et al. AJ\* 5927970 679 07/02/1997 219 10/05/1999 Hogan 5961870 AK\* 01/28/1998 196 116 09/19/2000 Nye et al. AL\* 6120650 FOREIGN PATENT DOCUMENTS Translation **CLASS** SUB-DATE COUNTRY **DOCUMENT CLASS** NUMBER YES NO OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance

113151.01/1949.00701

\* Previously Submitted

and not considered. Include copy of this form with next communication to the applicant.